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PTO/SB/21 (08-00)

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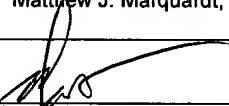
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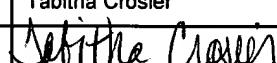
		Application Number	09/839,529
		Filing Date	April 20, 2001
		First Named Inventor	Hausman
		Group Art Unit	3628
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission		Attorney Docket Number	3524/33

ENCLOSURES (check all that apply)		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Matthew J. Marquardt, Reg. No. 40,997
Signature	
Date	July 30, 2003

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PATENT
Attorney Docket No.: 3524/33

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hausman

Application No: 09/839,529

Filed: April 20, 2001

For: Price Change of Orders from Reserve
in an Electronic Trading System

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GROUP 3600

Art Unit: 3628

Examiner: Not Yet Assigned

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SECOND INFORMATION DISCLOSURE STATEMENT

Applicant respectfully submits herewith an Information Disclosure Statement (IDS), an Information Disclosure Citation List, and a copy of each document listed therein. The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation form to the applicant's undersigned Attorney.

Several items listed in the attached citation list were first cited in a counterpart PCT international patent application. A copy of the International Search Report in which those items were first cited is enclosed.

Item B1 is a PCT international patent application claiming priority from U.S. Patent Application No. 09/584,045, a copy of which is enclosed. Neither item is considered prior art to this application. Both are co-pending applications commonly owned by the same Applicant.

This IDS is being filed before the mailing date of a first office action on the merits and thus no fee is due. The Commissioner is hereby authorized to charge any deficiency, or to credit any overpayment, to the undersigned attorney's Deposit Account No. 02-4270.

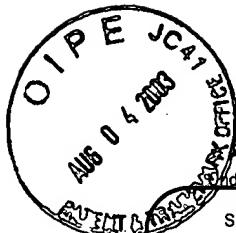
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Respectfully submitted,



Dated: July 30, 2003

Matthew J. Marquardt, Reg. No. 40,997
Attorney for Applicant
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complet if Known

Application Number 09/839 520

Filing Date April 20, 2001

First Named Inventor Hausman, Ar

Art Unit 3628

Examiner Name **Not Yet Assigned**

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U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	B1	WO 01/16830	03/08/2001	Hausman, et al.		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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